

# Relative Anion Stabilities and Transition State Energies Regarding Vinylic vs Allylic Deprotonation of Cyclic Vinyl Ethers by Organolithium Reagents: An Ab Initio Study

Trevor D. Power and John F. Sebastian\*

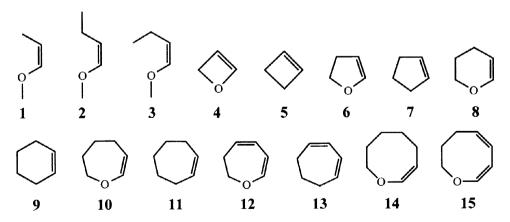
Miami University, Department of Chemistry and Biochemistry, Oxford, Ohio 45056 USA

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Abstract: The relative energies of allylic and vinylic anions of several vinyl ethers were determined by ab initio calculations at the Hartree-Fock and second-order Møller-Plesset perturbation theory (single point) levels using basis set 6-31++G(d,p) in an attempt at explaining experimental results concerning allylic vs vinylic deprotonation. A general trend with cyclic vinyl ethers has been discovered where the stability of the allyl anion over the vinyl anion in terms of ring size is  $8\approx7>6>5\approx4$ . In general, optimized vinyl anions exhibit a vinyl angle compression whereas optimized allyl anions exhibit an allyl angle expansion. Additionally, transition state structures are examined that invoke a pre-equilibrium complexation of lithium to the electron rich vinyl ether oxygens prior to the formation of the deprotonation products. These transition states are suggestive of multi-center processes, precluding the formation of free ions during these deprotonation reactions. In many cases, the stabilization energy of the appropriate transition state is in agreement with the experimentally observed product. © 1998 Elsevier Science Ltd. All rights reserved.

Experimentally, it has been shown that 2,3-dihydrofuran (6), 2,3-dihydro-4H-pyran (8), and 2,3,4,5-tetrahydrooxepin (10) react with alkyl lithium reagents to form vinyl lithiated species. <sup>1,2</sup> Under the same

Chart I. Structures



reaction conditions, 2,3-dihydrooxepin (12) forms 1-lithio-1-oxaheptatriene;<sup>2</sup> a product that may have arisen through the intermediacy of the corresponding allylic anion. Alternatively, the vinyl anion of 2,3-

E-mail: SEBASTJF@MIAMIU.MUOHIO.EDU Fax: 513-529-5715

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dihydrooxepin may form and react with a second molecule of 2,3-dihydrooxepin and deprotonate the allylic position. As the relative stabilities of the vinylic and allylic anions of these compounds had never been examined previously,<sup>3</sup> it was left to speculate how these products form. In order to better understand allylic vs. vinylic deprotonation, *ab initio* calculations were used to examine 6, 8, 10, 12, (Z)-1-propenyl methyl ether (1), *anti*-(Z)-1-butenyl methyl ether (2), *syn*-(Z)-1-butenyl methyl ether (3), oxete (4), cyclobutene (5), cyclopentene (7), cyclohexene (9), cycloheptene (11), 1,3-cycloheptadiene (13), 2,3,5,6-tetrahydro-4H-oxocin (15) in an attempt to explore 1) relative anion stability, 2) the effect ring size has on the site of deprotonation.

There is significant evidence pertaining to metalation of compounds containing electron rich atoms with some alkyl lithium reagents, suggestive of complexation between lithium and these electronegative sites prior to formation of the experimentally observed product. In cases where the experimentally observed product(s) is/are described as being unexpected due to thermodynamic or kinetic considerations, this complexation has been termed the Complex Induced Proximity Effect (CIPE) by Beak et al. 9 In all of these cases, lithium selectively delivers the appropriate carbanion to a specific site in the substrate.

Deprotonation of vinyl ethers may proceed through an analogous process, albeit not CIPE mediated for most cases where experimental data are currently available. A possible exception is 2,3-dihydrooxepin where deprotonation of the most acidic (vinyl) proton is not observed and this may occur via CIPE. It is therefore important to examine the transition states corresponding to vinylic and allylic deprotonation.

# **COMPUTATIONAL DETAILS**

Ab initio calculations were performed using Gaussian 94.<sup>10</sup> Geometry optimizations were completed on structures shown in Chart 1, Table 1, Figures 1-5 at the Restricted Hartree-Fock (RHF) level of theory using the 6-31++G(d,p) basis set. Transition states 8v-ts and 8al-ts were additionally optimized at the RHF level using basis set 6-311++G(d,p). Concerning transition states 10v-ts and 10al-ts, we also performed optimizations with basis sets aug-cc-pVDZ (H, C, O)<sup>11-15</sup> and 6-31G (Li) at the RHF level of theory. Furthermore, for these optimized geometries we present single point energy calculations obtained from frozen core second-order Møller Plesset perturbation theory (MP2-FC). For transition states 8v-ts, 8al-ts, 10v-ts, and 10al-ts we present energies obtained employing single point B3LYP<sup>16-19</sup> calculations of these optimized geometries requesting the full SCF convergence criterion (SCF=Tight). Each stationary point was verified as a minimum or transition state using analytical second derivative vibrational frequency calculations.

# RESULTS AND DISCUSSION

Vinyl and allyl anions. Ab initio calculations predict (Table 1) that the vinyl anions (2v, 3v, 4v, 5v, and 6v) are more stable than the corresponding allyl anions (2al, 3al, 4al, 5al, and 6al) by about 3, 3, 14, 5, and 11 kcal/mol (RHF) respectively and in the case of 4v and 6v vs 4al and 6al, 4 and 6 kcal/mol (MP2) respectively. Results at the MP2 level of theory for 2v vs. 2al and 3v vs. 3al do not significantly favor the stability of either anion. MP2 single point calculations indicate that the allylic anion 5al is lower in energy than the vinylic anion 5v by about 3 kcal/mol; a result opposite to that obtained at the Hartree-Fock level of theory for these anions. Allyl anions (1al, 7al, 8al, 9al, 10al, 11al, 12al, 13al, 14al, and 15al) are predicted to be more stable than the corresponding vinyl anions (1v, 7v, 8v, 9v, 10v, 11v, 12v, 13v, 14v, and 15v) by about 1, 4, 1, 11, 5, 16, 15, 25, 5 and 15 kcal/mol (RHF) and 4, 11, 6, 15, 10, 21, 22, 31, 8, and 19 kcal/mol (MP2) respectively. The relative energies for 14v vs 14al are calculated by comparison of the lowest energy boat conformation of 14v against the lowest energy chair conformation of 14al (see Figure 1). Due to the insignificant differences in relative energies for 1v vs. 1al and 8v vs. 8al at the Hartree-Fock level of theory, the MP2 results serve to assist in defining the allyl anions as the lower energy species. (Refer to Figures 1, 2 for optimized structures and relative energies for "boat" and "chair" conformations of (14, 14v, and 14al) and (15, 15v, and 15al) respectively.) Optimized local minima 3 and 3v are first order saddle points and additionally, 3al is a second order saddle point. Results for vinyl lithiated species 3v-Li correspond to a partial optimization performed by restricting the dihedral angle containing the four 1butenyl carbon atoms to 0.000° and is not a stationary point. In order to locate an energy well that satisfies the default force and displacement convergence criteria set in Gaussian 94, this dihedral was moved to 0.012°. Subsequent attempts at optimizing the geometry of 3v-Li without geometry constraints led to the anti conformation (2v-Li). No symmetry constraints were employed during the geometry optimizations shown in Table 1. In every case, employing symmetry constraints results in an extrema that is either essentially equivalent or greater in energy to that obtained without enforcing symmetry constraints and some of these higher energy extrema are not zeroth-order.

To the best of our knowledge, experimental data concerning the gas-phase geometries of the vinyl ethers are unavailable in the literature at this time. With regard to the cycloalkenes ( $5^{20-21}$ ,  $7^{22}$ ,  $9^{23}$ ,  $11^{24}$ , and  $13^{25}$ ), experimental structural data are available. Optimizations at the Hartree-Fock level of theory in the 6-31++G(d,p) basis set give very similar results compared to those obtained experimentally. For the vinyl ethers, the degree of accuracy concerning gas-phase geometries, determined by RHF/6-31++G(d,p) optimizations, is unknown, but is expected to be similar to that of cyclic alkenes.

Table 1. Relative Energies (kcal/mol) using Basis Set 6-31++G(d,p) (lowest energy species assigned a value of 0.00 kcal/mol)

| Ŀ                              | <del>IF</del> | MP2<br>Single Point                           | НЕ            | MP2<br>Single Point | MP2<br>HF Single Point  | <u>HF</u>         | MP2<br>Single Point |
|--------------------------------|---------------|---|---------------|---------------------|---|-------------------|---------------------|
| o 1                            | .20           | 3. <b>6</b> 0<br>Н                            | 0.00<br>1ai   | 0.00                | 8v 8al  | 0.00              | 0.00                |
| 0 L <sub>i</sub> 8<br>1v-Li OH | .19           | ң<br>н.О<br>11.0                              | O.OC          | 0.00                | $\begin{array}{cccc} & & & & & & & & & & & \\ & & & & & & & $   | 10.9              | 7.00                |
| o' ''                          | 00.           | 0.43  | 3.20          | 6 0.00              | 9v 11.2 15.3 9al  | 0.00              | 0.00                |
| 2v                             | .95           | H H O-Li.<br>8.57                             | 0.00          | 0.00                | 5.49 10.0 10al H  | 0.00              | 0.00                |
| 2v-LiH                         |               | /   | )<br>Il-Li    |                     | $ \begin{array}{cccc} & & & & & & & \downarrow \\ & & \downarrow$ | 0.92              | 0.00                |
| 0 √a 0<br>3v                   | .00           | 0.90<br><sub>H</sub> 3<br>H <sup>O</sup> ···L | o             | 5 0.00              |   | 0.00              | 0.00                |
| O Li<br>O H<br>3v-Li H         | 5.15          | 10.4  | 0.0<br>I-Li   | 0 0.00              | 15.2 22.1 °C  | 0.00              | 0.00                |
| <b>4v</b> 0                    | .00           |   | ) 13.0        | 5 4.32              | 10.8 20.1 Li  | 0.00              | 0.00                |
| o_Li o                         | .00           | H.gH<br>0.00 Ei                               | 7.44<br>Il-Li | 4 2.14              | 12v-Lino <sub>H</sub> 12al-Li   | 0.00              | 0.00                |
| 5v 0                           | 00.           | 3.12 6:<                                      | 5al 4.94      | 4 0.00              | 13v 13al<br>H <sub>Q</sub> H<br>Ei<br>17.0 27.8   | 0.00              | 0.00                |
| <b>6v</b> 0                    | 00.0          |   | 10.8<br>6al   | 8 5.53              | 13v-Li <sub>H</sub> O-H 13al-Li  Boat 4.58 7.54 Cha   | air0.0            | 0 0.00              |
| `o<\;                          | 0.00          | 0.00 Li                                       | 10.0          | 0 2.98              | 14v 14al  |                   |                     |
| 6v-Li OH                       | 1.04          | 10.6  | 0.0           | 0 0.00              | Boat 14.4 19.3 (Chair 15.3 18.0 Chi   | at 0.0<br>air 0.0 | 0 0.00<br>0 0.00    |

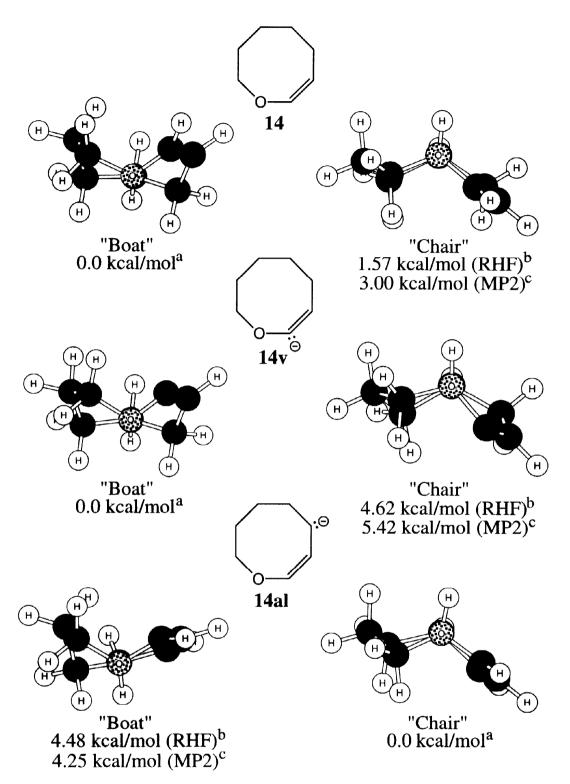


Figure 1. Optimized Geometries of and Relative Energies Between the "Chair" and "Boat" Conformations of 14, 14v, and 14al (lowest energy species assigned a value of 0.0 kcal/mol)

<sup>&</sup>lt;sup>a</sup> Lowest Energy Conformer at both Hartree-Fock and MP2 levels of theory. <sup>b</sup> Relative Hartree-Fock energy obtained from RHF/6-31++G(d,p) optimization. <sup>c</sup> Relative energy from MP2/6-31++G(d,p)//RHF/6-31++G(d,p)

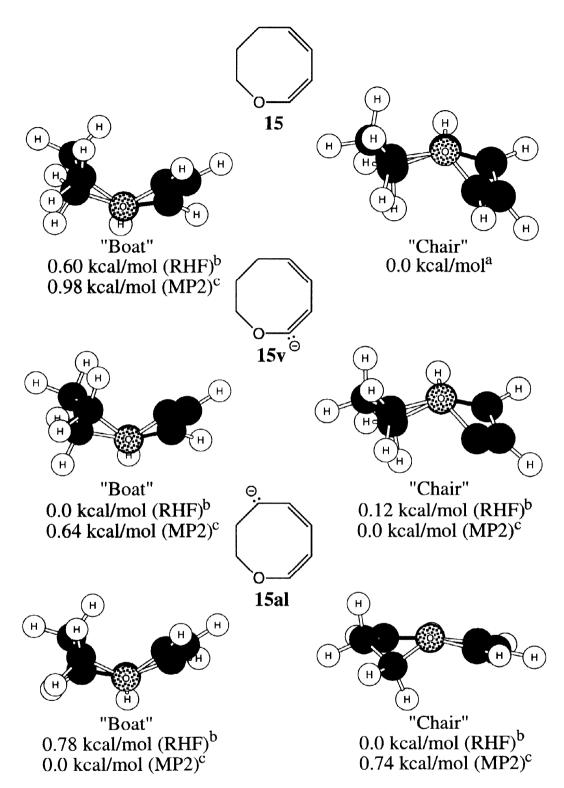


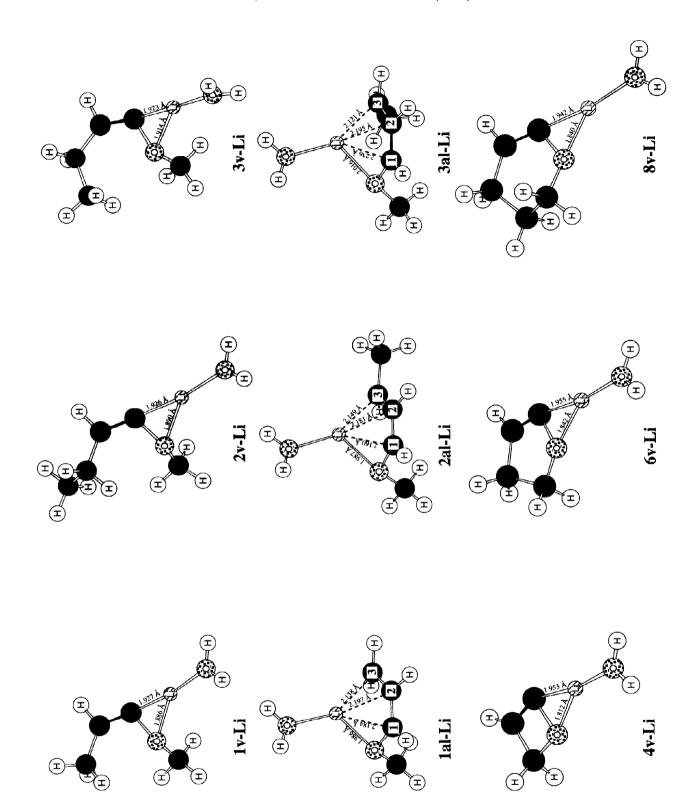
Figure 2. Optimized Geometries of and Relative Energies Between the "Chair" and "Boat" Conformations of 15, 15v, and 15al (lowest energy species assigned a value of 0.0 kcal/mol)

<sup>a</sup> Lowest Energy Conformer at both Hartree-Fock and MP2 levels of theory. <sup>b</sup> Relative Hartree-Fock energy obtained from RHF/6-31++G(d,p) optimization. <sup>c</sup> Relative energy from MP2/6-31++G(d,p)//RHF/6-31++G(d,p)

A general trend can be noted concerning the relative stabilities of the cyclic vinyl ether anions such that the relative stability of the allylic anions over the vinylic anions in terms of ring size is 8≈7>6>5≈4 where, again, in the case of the 4 and 5-membered ring, the vinyl anions (4v and 6v) are stabilized over the corresponding allylic anions 4al and 6al. The 7-membered ring pentadienyl anion 12al is stabilized over 12v more than the 7-membered ring allyl anion 10al is over 10v. Analogously, the 8-membered ring pentadienyl anion 15al is lower in energy than the corresponding vinylic anion 15v and this relative energy difference is greater than that between the 8-membered ring allylic anion 14al and the higher energy vinylic anion 14v. With regard to the cyclic vinyl hydrocarbons, the stability trend of the allylic anions over the vinylic anions in terms of ring size is 7>6>5>4. Also, as was seen in the cyclic vinyl ethers, comparison of the relative energies corresponding to the pentadienyl anion 13al and the vinyl anion 13v indicate that 13al is lower in energy and this difference is significantly greater than the relative energy between the more stable allyl anion 11al as compared to the vinyl anion 11v. The relative stabilities of the lithiated species are also presented in Table 1.

Solvated vinyl and allyl lithiated species. Coordination of lithium with a water molecule is being used as a model for ether solvation. The vinyl lithiated species (4v-Li, 6v-Li, 8v-Li, and 10v-Li) are predicted to be about 7, 10, 11 and 1 kcal/mol (RHF) more stable than the corresponding allyl lithiated species (4al-Li, 6al-Li, 8al-Li and 10al-Li). MP2 results suggest that the vinyl lithiated species (4v-Li, 6v-Li, and 8v-Li) are more stable than the corresponding allyl lithiated species (4al-Li, 6al-Li, and 8al-Li) by about 2, 3, and 7 kcal/mol respectively. MP2 results for 10v-Li vs 10al-Li suggest that the allyl lithiated species 10al-Li is about 5 kcal/mol lower in energy than the corresponding vinyl lithiated species 10v-Li. In spite of the fact that the allyl anion 8al is predicted to be lower in energy than the vinyl anion 8v, the vinyl lithiated species 8v-Li is lower in energy than the allyl lithiated species 8al-Li. Thus, the reaction responsible for the formation of the vinyl lithiated species may be driven by product stability rather than anion stability. Nevertheless, this cannot explain the experimentally observed vinyl lithiated species 10v-Li vs. the allyl lithiated species 10al-Li which is predicted to be lower in energy than 10v-Li at the MP2 level of theory. The allyl lithiated species (1al-Li, 2al-Li, 3al-Li, 12al-Li, and 13al-Li) are predicted to be about 8, 5, 6, 11, and 17 kcal/mol (RHF) and 11, 9, 10, 20, and 28 kcal/mol (MP2) lower in energy than the corresponding vinyl lithiated species (1v-Li, 2v-Li, 3v-Li, 12v-Li, and 13v-Li). Both the lower energy allyl anion 12al and the lower energy allyl lithiated species 12al-Li are consistent with the idea of allylic deprotonation of 12 to give 12al-Li subsequently yielding 1-lithio-1-oxaheptatriene.<sup>2</sup>

Solvated Structures. Geometries for the water solvated vinyl and allyl lithiated species (1v-Li, 1al-



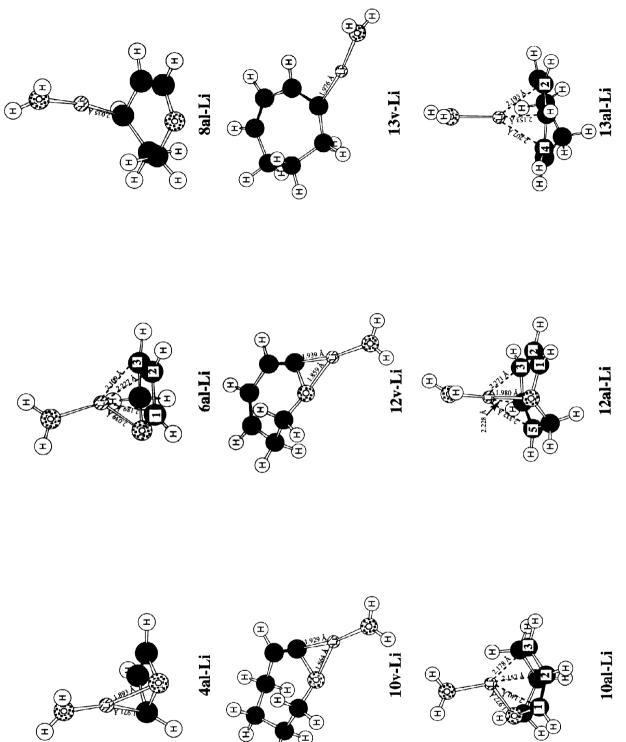


Figure 3. Chem 3-D Plots of Optimized Solvated Structures Obtained From RHF/6-31++G(d,p) Optimization

12al-Li, 13v-Li, 13al-Li) obtained at the Hartree-Fock level of theory with the 6-31++G(d,p) basis set are presented in Figure 3 along with explicit bond lengths (in Å) of the solvated lithium coordination. In all of the cases involving vinyl lithiation of cyclic vinyl ethers, the optimized geometries of the lithiated vinyl ethers, consistent with previously published data<sup>4</sup>, demonstrate solvated lithium coordinating to not only the vinyl carbon (site of deprotonation), but additionally the adjacent ether oxygen, forming a threemembered ring. In order to better understand the geometries obtained for the allyl lithiated species, the charges from a Natural Population Analysis<sup>26-27</sup> (NPA) and the molecular orbital coefficients of the HOMOs (Highest Occupied Molecular Orbitals) were calculated for the optimized geometries of the corresponding allyl anions from the electron density at the MP2 level of theory (Density=MP2). The position of the lithium atom in the geometries obtained for 1al-Li, 2al-Li, and 6al-Li shows complexation to the two terminal carbons of the allylic system, labeled 1 and 3 in Figure 3, (as well as complexation to the ether oxygen) and this is consistent with the much larger size of the p-orbitals of the HOMO. The ether oxygen and carbon 3 are in closest proximity to the solvated lithium cation and predicted to have the greatest negative charges. In these examples, carbon 2 is more negative than carbon 1 and this is likely a result of the highly polarized bond between the ether oxygen and carbon 1. In 3al-Li, the bond distance from the lithium atom to carbon 1 is the longest (2.236 Å) in this coordination and cannot be explained based on the molecular orbital coefficients of the HOMO; however, this is consistent with the NPA charge analysis; O(-0.6), C1(-0.2), C2(-0.3), C3(-0.5). Carbon 3 is slightly closer to the lithium atom (2.121 Å) than carbons 1 or 2 which bends the allylic  $\pi$ -system out of the plane. The bond length between carbons 1 and 2 is 1.357 Å whereas the bond distance between the carbons 2 and 3 is 1.431 Å, suggesting that the  $\pi$ -bonding between carbons 2 and 3 is slightly less than that between carbons 1 and 2. Bending the allylic  $\pi$ -system seems to relieve the steric strain caused by the butenyl terminal methyl group which is severely displaced out of the plane containing carbons 1 and 2 and away from the lithium atom in the optimized geometry. The geometries obtained for 4al-Li and 8al-Li are a result of several starting geometries designed to obtain a stationary point for each molecule with solvated lithium coordinating to the  $\pi$ -system of the allylic anion. However, as shown in Figure 3, the lowest energy conformers obtained for 4al-Li and 8al-Li do not include this feature. In the case of 8al-Li, additional attempts at obtaining coordination to the ether oxygen of 2,3-dihydro-4Hpyran actually optimized to the structure shown in Figure 3. The coordination exhibited in the optimized geometry obtained for solvated allyl lithiated species 10al-Li does not appear to follow either the HOMO coefficients or the charges obtained from NPA. Carbons 1 and 2 have similar charges (about -0.2 and-0.3 respectively), whereas carbon 3 and the ether oxygen have a charge value of about -0.6. The vinyl hydrogen and the three carbons (1, 2, and 3) in 10al-Li are very nearly in the same plane; the dihedral angle is -182°.

The geometry obtained for 12al-Li indicates coordination of solvated lithium to carbons 3, 4, and 5 of the pentadienyl anion and additionally to the ether oxygen of 2,3-dihydrooxepin. Both the molecular orbital coefficients of the HOMO and the charges for these atoms in the anion (12al) suggest that coordination should be at carbons 3 and 5; the shorter bond length between the lithium atom and carbon 4 (2.228 Å) seems to be a consequence of coordination to the two adjacent carbons. Coordination of solvated lithium in 13al-Li is consistent with the molecular orbital coefficients of the HOMO and the charges of the carbons 2, 3, and 4 in that carbon 3 has the most negative charge and the largest HOMO coefficients and is closest to the lithium atom.

Angles of vinyl and allyl anions. Optimized vinyl anions exhibit (Table 2) a vinyl angle

Table 2. Vinylic and Allylic Angles (°)

| 1<br>1v        | Vinylic X - C = C<br>Angle <sup>a</sup><br>123.3<br>111.6 | Allylic C C = C  Angle 125.8 128.4 | 10<br>10v                    | Vinylic X - C = C  Angle 127.2 116.2 | Allylic $\overset{(\cdot)}{\mathbf{C}} - \mathbf{C} = \mathbf{C}$ Angle 127.6 132.2 |
|----------------|---|------------------------------------|------------------------------|--------------------------------------|---|
| 1al            | 121.6   | 132.3                              | 10al                         | 121.8                                | 129.6   |
| 2<br>2v<br>2al | 123.3<br>111.4<br>117.9                                   | 126.1<br>128.4<br>132.2            | 11<br>11v<br>11al            | 126.5<br>115.6<br>124.7              | 126.5<br>128.6<br>132.2   |
| 3<br>3v<br>3al | 125.4<br>113.6<br>121.8                                   | 131.1<br>134.5<br>134.6            | 12<br>12v<br>12al            | 129.0<br>117.8<br>126.3              | 125.9<br>123.7<br>128.7   |
| 4<br>4v<br>4al | 98.9<br>91.2<br>97.3                                      | 86.4<br>90.9<br>89.3               | 13<br>13v<br>13al            | 128.7<br>117.4<br>125.8              | 128.7<br>128.3<br>131.2   |
| 5<br>5v<br>5al | 94.5<br>88.0<br>88.5                                      | 94.5<br>99.5<br>97.1               | 14<br>Boat<br>14v            | 121.2                                | 123.4   |
| 6<br>6v<br>6al | 115.0<br>106.3<br>112.6                                   | 108.5<br>113.1<br>111.4            | Boat<br>14al<br>Chair        | 108.4<br>121.6                       | 126.4<br>132.7  |
| 7<br>7v<br>7al | 112.2<br>104.7<br>105.6                                   | 112.2<br>116.6<br>114.0            | 15<br>Boat<br>Chair          | 123.4<br>124.2                       | 132.5<br>126.9  |
| 8<br>8v<br>8al | 125.1<br>115.1<br>118.6                                   | 122.1<br>127.5<br>124.5            | 15v<br>Boat<br>Chair<br>15al | 110.5<br>110.3                       | 131.7<br>125.9  |
| 9<br>9v<br>9al | 123.6<br>115.4<br>118.4                                   | 123.6<br>127.9<br>126.1            | Boat<br>Chair                | 124.3<br>125.8                       | 131.0<br>138.6  |

a X=0,C

compression of about 7-13°. Calculations indicate that the vinyl angle in methoxyethene contracts about 15° upon vinvl deprotonation.<sup>28</sup> Optimized cyclic allyl anions exhibit an allyl angle expansion of about 1.8-11.7°. The boat conformation of 15, however, exhibits an allylic angle compression of about 1.5° upon deprotonation at the allylic position to the anionic boat conformation (15al). In the case of the chair conformation of 15al, the deprotonated carbon can freely donate its electrons to the neighboring conjugated  $\pi$ -system to form the resulting pentadienyl anion because the carbons comprising the pentadienyl anion are essentially coplanar. For the boat conformation of 15al, the dihedral angles describing the relative position of the five carbon atoms, that make up the pentadienyl anion in the chair conformer, are 23.9° and 16.4° and are clearly not coplanar. As a result, the allylic carbon, being the furthest out of the plane as compared to the other four carbons, has a difficult time donating electrons from its fully occupied p-orbital to the conjugated  $\pi$ -system and, as is seen with all of the vinyl anions, the fully occupied p-orbital of the allylic carbon (after deprotonation) repels the other substituents of the allylic carbon and has an overall effect of slightly compressing the ring at that carbon. The non-cyclic vinyl ethers 1, 2, and 3 exhibit an allylic angle expansion of 6.5°, 6.1°, and 3.5° respectively upon allylic deprotonation. This suggests that the allylic angle expansion (upon allylic deprotonation) is significantly hindered with the methyl group in the syn high energy conformation as in 3al as compared to the low energy conformation 2al which exhibits an allylic angle expansion of almost twice that of the higher energy conformer. Cyclic vinyl ethers, being structurally more similar to **3al** than **2al**, typically exhibit allylic angle expansions of 2°-2.9° and the cyclic vinyl hydrocarbons typically exhibit allylic angle expansions on the order of 1.8°-5.7° (Table 2). Upon vinyl deprotonation, vinyl angle compression generally increases with increasing ring size. With the exception of results obtained for 11 and 15, the observed allylic angle expansion for the cyclic vinyl compounds is reasonably regular (about 2-3°) and does not seem to be significantly affected by ring size.

Transition States. In the application of complexation to allylic vs vinylic deprotonation of cyclic vinyl ethers, the focus is on the construction of transition states that illustrate the site-directed delivery of the alkyl anion mediated by lithium's initial coordination with an electron rich heteroatom; in this case oxygen. Figure 4 demonstrates a complexation mediated vinylic deprotonation reaction of 6 with methyl lithium involving an initial preequilibrium complexation going on to form the two possible transition states (6y-ts or 6al-ts), which lose methane to form the unsolvated products u-6y-Li or u-17-c (respectively).

In Table 3, relative energies are reported corresponding to the progress of reaction for vinylic and allylic deprotonation of 8, 10, and 12. Consistent with experimentally observed results for 2,3-dihydrofuran

Table 3. Progress of Reaction Relative Energies for Vinylic and Allylic Deprotonation of Cyclic Vinyl Ethers 8, 10, 12

|                                    | ,             | Parent-MeLi | Vinyl      | Allyl      | Vinyl Product | Allyl Product |
|------------------------------------|---------------|-------------|------------|------------|---------------|---------------|
| Computational Job                  | Parent + MeLi | Complex     | Transition | Transition | + CH4         | + CH4         |
|                                    |               |             | State      | State      |               |               |
|                                    | 8             | <b>3</b> 8  | 8v-ts      | 8al-ts     | u-8v-Li       | u-8al-Li      |
| RHF/6-31++G(d,p)//RHF/6-31++G(d,p) | 0.0           | -17.9       | 21.7       | 24.4       | -13.0         | -1.2          |
| MP2/6-31++G(d,p)//RHF/6-31++G(d,p) | 0.0           | -18.9       | 8.9        | 5.2        | -15.1         | -6.7          |
|                                    | 10            | 10c         | 10v-ts     | 10al-ts    | u-10v-Li      | u-10al-Li     |
| RHF/6-31++G(d,p)//RHF/6-31++G(d,p) | 0.0           | -18.8       | 22.0       | 18.8       | -12.0         | -12.9         |
| MP2/6-31++G(d,p)/RHF/6-31++G(d,p)  | 0.0           | -20.0       | 7.9        | 9.0        | -15.3         | -21.0         |
|                                    | 12            | 12c         | 12v-ts     | 12al-ts    | u-12v-Li      | u-12al-Li     |
| RHF/6-31++G(d,p)//RHF/6-31++G(d,p) | 0.0           | -16.9       | 21.9       | 15.9       | -13.2         | -25.6         |
| MP2/6-31++G(d,p)//RHF/6-31++G(d,p) | 0.0           | -17.8       | 9.8        | 2.3        | -15.4         | -35.1         |

(6, Figure 4), the transition state presumably responsible for vinyl deprotonation (6v-ts) is about 7 kcal/mol (HF) and 1 kcal/mol (MP2) lower in energy corresponding to allylic deprotonation (8al-ts). Single point energies obtained with second-order Møller Plesset perturbation theory with precisely the dihydro-4H-pyran (8), relative energies obtained at the Hartree-Fock level of theory, concordant with the experimentally observed product (u-8v-Li), kcal/mol (HF) and 3 kcal/mol (MP2) lower in energy than the unsolvated allyl lithiated species **u-6al-Li** using the 6-31++G(d,p) basis set. For 2,3same geometries predict 8v-ts to be 4 kcal/mol higher in energy than 8al-ts. With regard to the vinyl and allyl lithiated products (u-8v-Li, u-8al-Li than the transition state located for allylic deprotonation (6al-ts) and, in addition, the unsolvated vinyl lithiated species 6v-Li (u-6v-Li) is about 9 (MP2). The transition state 10v-ts, corresponding to vinylic deprotonation of 2,3,4,5-tetrahydrooxepin, is predicted to be less stable by about 3 respectively), calculations at both levels of theory suggest u-8v-Li to be lower in energy than u-8al-Li by about 12 kcal/mol (HF) and 8 kcal/mol suggest that the transition state corresponding to vinylic deprotonation (8v-ts) is about 3 kcal/mol lower in energy than the transition state

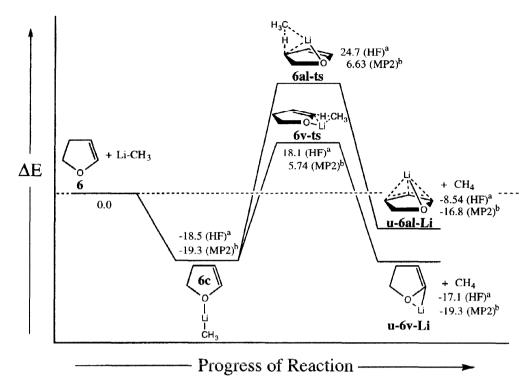


Figure 4. Progress of Reaction Diagram for Formation of Vinyl and Allyl Lithiated Species **u-6v-Li** and **u-6al-Li** (Energies are in kcal/mol)

<sup>a</sup> RHF/6-31++G(d,p)//RHF/6-31++G(d,p). <sup>b</sup> MP2/6-31++G(d,p)//RHF/6-31++G(d,p)

kcal/mol (HF) and 7 kcal/mol (MP2) than the transition state located for allylic deprotonation (10al-ts). Also the vinyl lithiated species u-10v-Li is about 1 kcal/mol (HF) and 6 kcal/mol (MP2) higher in energy than the allyl lithiated species u-10al-Li. Unfortunately, all of these observations would lead one to believe, contradictory to the experimental results, that the allyl lithiated species should be experimentally observed, not the vinyl lithiated species. Relative energies obtained at both levels of theory with the 6-31++G(d,p) basis set for 2,3-dihydrooxepin (12), and in accord with experimental observations, indicate that the transition state located for vinylic deprotonation (12v-ts) is 6 kcal/mol (HF and MP2) higher in energy that the transition state presumably responsible for allylic deprotonation (12al-ts) and, in addition, the vinyl lithiated species u-12v-Li is 12 kcal/mol (HF) and 20 kcal/mol (MP2) higher in energy than the allyl lithiated product u-12al-Li, which collapses to the experimentally observed product (1-lithio-1-oxoheptatriene). The difference in relative energies between the solvated species corresponding to vinylic and allylic lithiation (Table 1) are strikingly similar (both qualitatively and quantitatively) to that of the corresponding unsolvated species (Table 3) for all but one example. At the Hartree-Fock level of theory, the solvated vinyl lithiated species 10v-Li is lower in energy than the solvated allyl lithiated species 10al-Li by about 1 kcal/mol whereas the unsolvated vinyl lithiated species u-10v-Li is predicted to be about 1 kcal/mol higher in

energy than the unsolvated allyl lithiated species u-10al-Li. Relative energies obtained at the Hartree-Fock level of theory for all of the transition states listed in Figure 4, Table 3 are overestimated as compared to those obtained employing second-order Møller Plesset perturbation theory.

Employing the 6-31++G(d,p) basis set to optimize the model transition states at the Hartree-Fock level of theory, as well as calculating MP2 single-point energies associated with these optimized geometries, in order to gain insight into vinylic vs allylic deprotonation reactions of cyclic vinyl ethers with organolithium reagents is not completely successful with respect to experimental observations (Table 3). Although the model transition states can be used to explain the cases of 2,3-dihydrofuran and 2,3-dihydrooxepin, the computational results for transition states 8v-ts vs 8al-ts at the MP2 level for 2,3-dihydro-4H-pyran are inconsistent with results at the Hartree-Fock level of theory; and it is the Hartree-Fock relative energies that agree with experimental results. Additionally, the computational results for 2,3,4,5-tetrahydrooxepin with respect to both the transition states and the lithiated species are not consistent with experimental results at either level of theory. In an attempt to address these discrepancies, we employed the Density Functional Method B3LYP to calculate single-point energies and additionally, we reoptimized 8v-ts and 8al-ts at the Hartree-Fock level of theory using basis set 6-311++G(d,p) (Table 4). We note that Kremer et al.<sup>29</sup> utilized the B3LYP-DFT method and the 6-311++G(d,p) basis set for similar types of transition state calculations and this was certainly one of our major reasons for the incorporation of

Table 4. Additional Relative Energies (kcal/mol) of Transition States Corresponding to Vinylic and Allylic

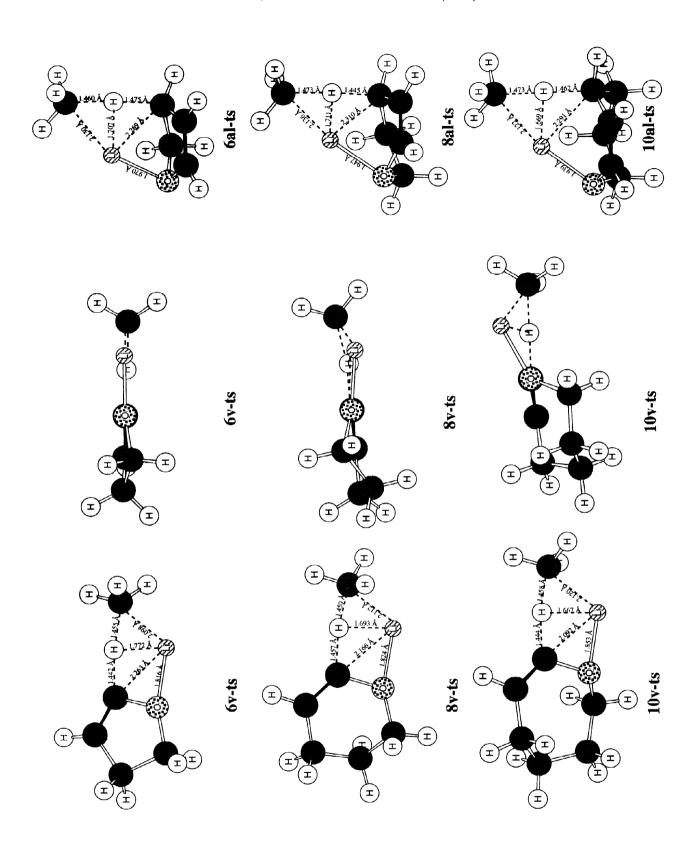
Deprotonation of 8 and 10

| Computational Job                      | Vinyl Transition | Allyl Transition |
|--|------------------|------------------|
|  | State            | State            |
|  | 8v-ts            | 8al-ts           |
| B3LYP/6-31++G(d,p)//RHF/6-31++G(d,p)   | 2.6              | 0.0              |
| RHF/6-311++G(d,p)//RHF/6-311++G(d,p)   | 0.0              | 3.0              |
| B3LYP/6-311++G(d,p)//RHF/6-311++G(d,p) | 2.5              | 0.0              |
| MP2/6-311++G(d,p)//RHF/6-311++G(d,p)   | 4.3              | 0.0              |
|  | 10v-ts           | 10al-ts          |
| B3LYP/6-31++G(d,p)//RHF/6-31++G(d,p)   | 6.2              | 0.0              |
| RHF/aug-cc-pVDZ (H,C,O) 6-31G (Li)     |                  |                  |
| //RHF/aug-cc-pVDZ (H,C,O) 6-31G (Li)   | 3.6              | 0.0              |

them into our work here. Qualitatively, the B3LYP/6-31++G(d,p)//RHF/6-31++G(d,p) relative energies are in agreement with those obtained from the MP2/6-31++G(d,p)/RHF/6-31++G(d,p) single point calculations, showing that 8al-ts is lower in energy than 8v-ts by about 3 kcal/mol. Relative energies obtained from the Hartree-Fock optimizations and DFT and MP2 single point calculations with the 6-311++G(d,p) basis set are very similar to those obtained with the 6-31++G(d,p) basis set. Also the geometries associated with these stationary points are quite similar to those obtained with 6-31++G(d,p). In Table 4, we present B3LYP single point energies obtained with the 6-31++G(d,p) basis set for 10v-ts and 10al-ts using the geometries obtained from a Hartree-Fock optimization (Table 3). As was the case, for 8vts vs. 8al-ts, these relative energies are qualitatively similar to results obtained from second-order Møller Plesset perturbation theory (Table 3). As a point of comparison, we performed a Hartree-Fock optimization of 10v-ts and 10al-ts with the aug-cc-pVDZ (H,C,O) as well as 6-31G (Li) and, for all practical applications, we recognize this to be the limit of our current computational resources. The Hartree-Fock relative energies obtained with this basis set suggest 10v-ts to be about 3.6 kcal/mol higher in energy than 10al-ts. These results are quite similar to those obtained at this level of theory with the much smaller 6-31++G(d,p) basis set and serve to demonstrate that deviations from experimental observations are not likely caused by basis set deficiencies but rather, among other considerations, deficiencies in the model transition states in this study.

**Transition State Structures.** The geometries located for transition states **6v-ts**, **6al-ts**, **8v-ts**, **8al-ts**, **10v-ts**, **10al-ts**, **12v-ts**, and **12al-ts** from RHF/6-31++G(d,p)//RHF/6-31++G(d,p) are presented in Figure 5 along with specific bond lengths (in Å) associated with the deprotonation reaction. The transition states corresponding to vinylic deprotonation are pictured twice; on the left, the Chem 3D geometry is drawn so that the lithium complex region is approximately coplanar with the paper, and the structure on the right is a 90° rotation of the left view. As the ring size increases from **6v-ts** to **12v-ts**, the transition states corresponding to vinyl deprotonation become less and less planar. The geometries for all of the transition states suggest that the complexation mediated deprotonation reactions involving organolithium reagents occur in a concerted fashion and not step-wise, precluding the formation of an anion. This suggests that the relative stabilities of the corresponding anions (Table 1) have little (or nothing) to do with the observed lithiated species. The departing methyl group is approximately the same distance from lithium as the carbon being deprotonated.

Unsolvated Structures. With the exception of u-8al-Li, the coordination of a lithium cation to vinyl ethers is very much the same as the coordination seen with a solvated lithium cation (Figure 3).



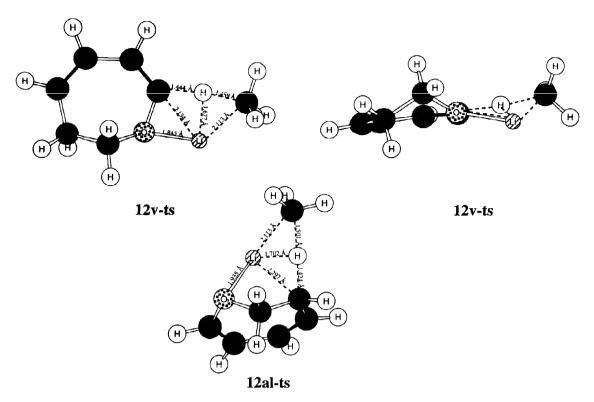


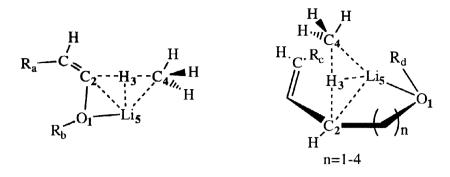
Figure 5. Chem 3D Transition State Optimized Geometries Obtained From RHF/6-31++G(d,p) Optimization

Quantitatively speaking, the unsolvated lithiated species exhibit slightly shorter bond lengths to the lithium atom than in the corresponding solvated lithium coordination geometries. In the case of **u-8al-Li**, the coordination of lithium is similar to that seen with a lithium cation complexing to the two sp<sup>2</sup> hydridized carbons of propene, as reported by Goldfuss, Schleyer, and Hampel<sup>30</sup>. In the optimized geometry [RB3LYP/6-311+G(d,p) (C,H), /6-31G(d) (Li)] of the Li+-propene complex, the bond length between lithium and the terminal carbon is calculated to be 2.235 Å and the bond length between the lithium atom and the central carbon of propene is reported to be 2.564 Å. As we were unsuccessful in locating a stationary point for solvated lithium complexing to an allylic  $\pi$ -system of the allyl anion of 2,3-dihydro-4H-pyran (8al-Li, Figure 3), it is not surprising that the lithium cation also does not complex to the allyl anion of **u-8al-Li** in the optimized geometry.

**Charges Analyses.** Recently Kremer, Junge, and Schleyer<sup>29</sup> reported transition states corresponding to side-chain directed metallation of ortho-substituted toluenes using lithium hydride in their model transition states. These transition states are strikingly similar to those in Figure 5. In order to further characterize the transition states in Figure 5, as was done by Kremer et al.,<sup>29</sup> the atomic charges were determined from a Natural Population Analysis (NPA),<sup>26-27</sup> using the MP2 electron density (Table 5).

Table 5. NPA Charges Calculated for Transition States (Figure 5)

Invoking Complexation Mediated Vinylic and Allylic Deprotonation



|        |                 | NPA    |         |                 | NPA    |
|--------|-----------------|--------|---------|-----------------|--------|
|        |                 |        |         |                 |        |
|        | $O_1$           | -0.705 |         | O <sub>1</sub>  | -0.636 |
|        | C <sub>2</sub>  | -0.039 |         | $C_2$           | -0.662 |
| 6v-ts  | $H_3$           | 0.227  | 6al-ts  | $H_3$           | 0.210  |
|        | C <sub>4</sub>  | -1.216 |         | C <sub>4</sub>  | -1.163 |
|        | Li <sub>5</sub> | 0.934  |         | Li <sub>5</sub> | 0.899  |
|        |                 |        |         |                 |        |
|        | $O_1$           | -0.692 |         | $O_1$           | -0.652 |
|        | $C_2$           | -0.064 |         | $C_2$           | -0.632 |
| 8v-ts  | H <sub>3</sub>  | 0.213  | 8al-ts  | $H_3$           | 0.215  |
|        | C <sub>4</sub>  | -1.203 |         | C <sub>4</sub>  | -1.150 |
|        | Li <sub>5</sub> | 0.931  |         | Li <sub>5</sub> | 0.891  |
|        |                 |        |         |                 |        |
|        | $O_1$           | -0.709 |         | $O_1$           | -0.665 |
|        | C <sub>2</sub>  | -0.091 |         | $C_2$           | -0.656 |
| 10v-ts | H <sub>3</sub>  | 0.210  | 10al-ts | $H_3$           | 0.209  |
|        | C <sub>4</sub>  | -1.201 |         | C <sub>4</sub>  | -1.162 |
|        | Li <sub>5</sub> | 0.930  |         | Li <sub>5</sub> | 0.900  |
|        |                 |        |         |                 |        |
|        | $O_1$           | -0.686 |         | $O_1$           | -0.653 |
|        | $C_2$           | -0.036 |         | C <sub>2</sub>  | -0.648 |
| 12v-ts | $H_3$           | 0.208  | 12al-ts | $H_3$           | 0.215  |
|        | $C_4$           | -1.204 |         | C <sub>4</sub>  | -1.169 |
|        | Li <sub>5</sub> | 0.931  |         | Li <sub>5</sub> | 0.911  |
|        |                 |        |         |                 |        |

R<sub>a</sub>=H, alkyl, alkenyl; R<sub>b</sub>=H,alkyl, alkenyl

R<sub>c</sub>=ether, alkenyl ether; R<sub>d</sub>=vinyl

Examination of the charges of the migrating hydrogens indicates that the charge on hydrogen is low for every transition state in Figure 5. The arrangement of charges suggests that the transition states are multi-center processes.

## **CONCLUSIONS**

With two exceptions, computational analysis of the relative stabilities of vinyl and allyl anions is in good agreement with the experimentally observed vinyl and allyl lithiated products. In the case of 2,3-dihydro-4H-pyran and 2,3,4,5-tetrahydrooxepin, the computational results for the anions suggest that the experimentally observed vinyl lithiated species result from the preceding higher energy vinyl anions (Table 1). Although relative anion stability may have something to do with vinyl vs allyl lithiation, it clearly is not the only contributor directing the observed products of this reaction. For cyclic vinyl ethers, a general trend can be drawn from the computational results presented where the stability of the allylic anion over the vinylic anion in terms of ring size is 8≈7>6>5≈4. A similar trend for the cyclic alkenes can also be made. Generally speaking, optimized vinyl anions exhibit a vinyl angle compression and optimized allyl anions exhibit an allyl angle expansion. Vinylic vs allylic deprotonation reactions involving vinyl ethers and organolithium reagents may proceed through transition states via complexation. With 2,3,4,5-tetrahydrooxepin, the relative energies of the transition states and lithiated products are not consistent with the experimentally observed vinyl lithiated species (Table 3). The transition state geometries and atomic charges obtained in the present study depict multicenter processes involving coordination of lithium to the vinyl ether oxygen, departing proton, vinyl (or allyl) anion, and the departing methyl carbon.

SCF treatment of geometry optimizations of these types of structures to obtain reliable geometries and relative energies may very well introduce short-comings to our present work; inclusion of MP2 single-point energies is not equivalent to geometry optimizations at this level of theory but they do suggest how inclusion of electron correlation may affect relative energies. The choice of not including aggregation in this study to explain the vinylic and allylic deprotonation reactions is an attempt at modeling these types of reactions at the simplest practical level for these rather large compounds. Solvation of lithium may be important in these reactions and no attempt has been made here to include solvation effects in our transition state studies. Significant improvements to the level of theory studied will require a major increase in computational resources and this is an obstacle we can not overcome at the present time.

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